

JMP Securities Limited  
 Level 3, ADF Haus  
 Musgrave Street,  
 PO Box 2064, Port Moresby  
 National Capital District  
 Papua New Guinea



A member of the Pertusio Group  
 Member of the PNG National Stock Exchange

## BUYING SHARES FORM

### Attention: Client Advisers/Equity Dealers

I/We	
of	
hereby authorises JMP Securities Limited (JMP) to purchase shares on my/our behalf as indicated below.	

#### Section 1 – Share Investment details

Total quantity or consideration	Stock	Market price or limit

#### Section 2 – Share registration details

<b>Name</b>	
<b>Address</b>	
<b>Telephone</b>	
<b>Mobile</b>	
<b>Email</b>	

#### Section 3 – Name and Address of a secondary contact

<b>Name</b>	
<b>Address</b>	
<b>Telephone</b>	
<b>Mobile</b>	
<b>Email</b>	

#### Section 3 – Payment details

Tick <input checked="" type="checkbox"/>	Type of payment	Particulars
<input type="checkbox"/>	Bank Cheque	
<input type="checkbox"/>	Direct deposit	
<input type="checkbox"/>	Telegraphic Transfer	
<input type="checkbox"/>	Share sales proceeds	

Transfer/Deposit your funds with a clear narration B/O of "your name" to JMP's bank account details below;

Account Name: JMP Securities Limited  
 Bank: Bank South Pacific  
 Account Number: 7019145890  
 Branch Name: Gordons Commercial  
 BSB: 088-951

#### Important Note:

- The minimum investment amount is K2,325.00. This amount includes the relevant Security Commission fee, the PNGX fee and our brokerage fee.
- You must provide us with the deposit confirmation for every purchase before investing with declaration of the source of funds and your source of wealth according to the AML/CTF Act requirements.
- All new investors must provide a valid form of identification, which can either be a passport, driver's license, work ID or a statutory recommendation letter from a trusted source known to JMP Securities Limited; JMP reserves the right to refuse or accept new applications
- Existing clients may also be requested to provide a valid form of identification which can either be a password, driver's license, work ID, a statutory declaration signed by a reputable commissioner of oaths or a recommendation letter from a trusted source known to JMP Securities Limited.
- Please refer to the following sliding scale brokerage fee structure to be applied on each investment consideration.
- Contact your Client Advisor if you have any queries.

## Fee Schedule

Domestic Transaction Fees		International Transaction Fee*	
Amount/Consideration	Fee (PGK)	Amount/Consideration	Fee (A\$)
K2,000 - K10,000	325	\$2,000 - \$5,000	\$200
K10,001 - K20,000	2.5% + 75	\$5,001 - \$100,000	1.5%+125
K20,001 - K50,000	2.0% + 75	\$100,001 +	1.0%+125
K50,001 - K100,000	1.5% + 75		
K100,001 +	1.0% + 75		

\*On account of the current limitations and restrictions in foreign exchange markets in Papua New Guinea, we are not offering these services at present.

### Declaration.

I/We declare that the information provided in this form is true in every detail to the best of my/our knowledge. I/We accept full liability if any information provided in this form is false.

Applicant 1		Applicant 2	
Name		Name	
Signature		Signature	
Date		Date	

### Witness

Name		Signature		Date			
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For office use only

### Compliance officer

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I confirm that I have performed the following;

- Verified the account details on the system
- Verified the signature and received client's photo identification on the system if an existing client
- Receive the statement of holding to confirm the holding
- For joint applicants, authorised representatives have signed the form
- For non-individual applicants, the authorised signatories have authorised the transaction with copies of relevant documents substantiating the applications.

Staff Id:

Name:

Date \_\_/\_\_/20\_\_

### Authorising Officer

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Staff Id:

Name:

Date \_\_/\_\_/20\_\_

### Counterparty details

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Account number:

Adviser: